


**OFFICE OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Reference Designation

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|------------------|-----------------|---------|--------------|-------|----------|----------------------------|
| AAA | 5,548,185 | 8/20/96 | Kumar et al. | 313 | 495 | — |
| ABA | 6,436,221 | 8/20/02 | Chang et al. | 156 | 247 | — |
| ACA | | | | | | |
| ADA | | | | | | |
| AEA | | | | | | |
| AFA | | | | | | |
| AGA | | | | | | |
| AHA | | | | | | |
| AIA | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation Yes No |
|------------------|-----------------|------|---------|-------|----------|-----------------------|
| AJA | | | | | | |
| AKA | | | | | | |
| ALA | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

- AMA Handbook of Chemistry and Physics, 59th Edition, 1978-1979, pp. 81-82.
- ANA M.F. Islam, "High Weight Fraction Surfactant Solubilization of Single-Wall Carbon Nanotubes in Water," *Nano Letters*, 2003, Vol. 3, No. 2, pp. 269-273.
- AOA "The Silver/Silver Chloride Reference Electrode," available via the Internet at http://www.tannerm.com/ag_ref.htm, 1998, Tanner McCarron, pp. 1-8.
- APA Won Seok Kim et al., "Secondary Electron Emission from Magnesium Oxide on Multiwalled Carbon Nanotubes," *Applied Physics Letters*, Vol. 81, No. 6, August 5, 2002, pp. 1098-1100.
- AQA J.N. Heo et al., "Effect of MgO Film Thickness on Secondary Electron Emission from MgO-coated Carbon Nanotubes," *Physica B*, 323 (2002), pp. 174-176.
- ARA Young-Woo Son et al., "Electron Structure and the Field Emission Mechanism of MgO-coated Carbon Nanotubes," *New Journal of Physics*, 5 (2003), pp. 152.1-152.9.
- ASA SeGi Yu et al., "Field Emission Energy Distribution of MgO-coated MWCNTs," *Physica B*, 323 (2002), pp. 177-179.
- ATA

Examiner: 

Date Considered: 7/16/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Serial No.: 10/715,934

Applicants: Yunjun Li et al.

Filing Date: 9/09/04

Group: 1714

Atty. Docket No.: 12179-P126US



Reference Designation

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|------------------|-----------------|---------|------------------|-------|----------|----------------------------|
| <u>AAA</u> | 6,436,221 | 8/20/02 | Chang et al. | 156 | 247 | — |
| <u>ABA</u> | 6,057,637 | 5/02/00 | Zentl et al. | 313 | 310 | — |
| <u>ACA</u> | 6,616,495 | 9/09/03 | Tsuboi | 445 | 24 | — |
| <u>ADA</u> | 6,441,550 | 8/27/02 | Patterson et al. | 313 | 495 | — |
| <u>AEA</u> | 6,239,547 | 5/29/01 | Uemura et al. | 313 | 495 | — |
| <u>AFA</u> | 6,705,910 | 3/16/04 | Sheu et al. | 445 | 24 | — |
| <u>AGA</u> | 2002/0104603 | 8/08/02 | Chang et al. | 156 | 89.12 | — |
| <u>AHA</u> | | | | | | |
| <u>AIA</u> | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation Yes No |
|------------------|-----------------|---------|---------|-------|----------|--------------------|
| <u>AJA</u> | EP 0989579 | 3/29/00 | Europe | — | — | X |
| <u>AKA</u> | | | | | | |
| <u>ALA</u> | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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AMA
ANA
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Examiner:

Date Considered: 7/16/05

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12179-P126C1 1/13/2005